

11/09/00
jc685 U.S. PTO

09708658 110900

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

jc903 U.S. PTO
09/708658
11/09/00

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	
First Inventor	BROMER
Title	BLADE WITH MICROSCOPIC
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 - ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 - ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 - ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets - Oath or Declaration [Total Pages - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. _____
Prior application information		Examiner _____	Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or <input checked="" type="checkbox"/> Correspondence address below
Name	NICK BROMER	
Address	5713 NAMAKAGAN ROAD	
City	BETHESDA	State MD Zip Code 20816
Country	USA	Telephone (301) 530-0150 Fax

Name (Print/Type)	NICK BROMER	Registration No. (Attorney/Agent)	33,478
Signature	Nick Bromer	Date	Nov. 9, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.⁰⁰

Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

NOV. 9, 2000
BROMER, NICK

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit
Account
NumberDeposit
Account
Name
☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

NICK BROMER

Registration No.
(Attorney/Agent)

33,478

Complete (if applicable)

Telephone

(202) 530-7424

Signature

Nick Bromer

Date

NOV 9, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

09708658 110900

BLADE WITH MICROSCOPIC CERAMIC CUTTING PLATE

CROSS-REFERENCE TO RELATED APPLICATION

This application claims the benefit of provisional
utility patent application serial number 60/164,556, filed
5 November 10, 1999 by the same applicant.

FIELD OF THE INVENTION

The present invention relates to cutting blades, such
as knives or razors, having a thin hard layer on one side.

REVIEW OF RELATED TECHNOLOGY

10 Knife blades are always ground flat on the surface
next to the cutting edge. One of the two surfaces next to the
edge may be scalloped (a "serrated" blade), but even in the
case of a "flamed" sword there is always one viewing direction
in which the cutting edge appears as a straight line. Another
15 way of saying this is that the edge is always straight in the
cutting direction.

A non-straight cutting edge would be inefficient.

First, slicing motion of such a blade would create a sawing action, rather than a cutting action, in the portions of the cutting edge at an angle to the slicing direction of motion.

5 The angled portions of the edge, moving sideways, would scrape rather than cut and would create high friction. Second, when pushing the edge straight into the material (without slicing), the length of the cut would be longer because a sinuous line is longer than a straight line. For these reasons no blade is
10 made anything but straight in the cutting direction.

On the microscopic scale, however, ridges and valleys are left by sharpening stone grit and the edge is anything but straight. This creates cutting inefficiency on a scale too small to see.

15 The present invention relates to this lack of microscopic straightness, and its relation to blade coatings of hard materials such as ceramic.

U.S. Patent 5,724,868 to Knudsen et al discloses a cutting blade made of ordinary metal (400 series stainless
20 steel) with a thin layer of a hard ceramic, such as TiN, layered onto one side of the blade. The blade is sharpened only on the side opposite the ceramic, placing the thin layer of very hard ceramic material at the cutting edge. Because the edge is thus made very hard, the cutting edge dulls very
25 slowly, and Knudsen presents graphs of experimental results showing greatly increased edge life.

US patent 5,477,616 to Williams et al shows a very thin (2 μm to 20 μm thick) hard layer on a steel blade. US patent 4,991,481 to Gerber discloses a blade with a hard layer on one side.

5 The ceramic layers' thicknesses are on the order of a μm (micron or micrometer, one millionth of a meter or a thousandth of a millimeter: in English measure, about 0.04 of one thousandth of an inch or 0.04 mil). Knudsen's ceramic layer is 1.5 to 5 μm and Williams' is 8-15 μm . These
10 thicknesses are several times a wavelength of light, which is about 5000 Angstroms or 0.5 μm (visible light ranges from 4000 to 7000 Angstroms in wavelength).

 The surface roughness of a sharpened blade --the average depth of the microscopic valleys --is longer than a
15 wavelength of light, as is shown by the fact that the surface of a sharpened knife blade is not "specular", that is, not image-reflecting like a speculum (mirror). If the surface were flat or smooth on the scale of a light wavelength, the knife would be specular and mirror images would be visible in
20 it.

 Ordinary sharpening stones are too rough to polish a surface, i.e. make it specular. Very fine number 60 grit has a diameter of 275 μm (55 times the thickness of a typical thick ceramic layer and about 500 times a light wavelength)
25 and the gouges it leaves on a knife blade are probably of the same order of magnitude.

Fig. 1, labeled "Prior Art", shows in a microscopic view a ceramic layer 20' which is convoluted because of being deposited onto the convoluted rough surface of a metal blade 10'.

5 When this blade is sharpened on the opposite side, the ceramic layer 20' will be abraded or broken off at or near the apex of the cutting edge. Since the ceramic layer 20' is not flat, the edge 22' of the ceramic layer 20' will not be straight in the cutting direction. On the microscopic scale,
10 along a line of sight in the direction of the arrow A in Fig. 1, it will appear as in Fig. 3.

 It has been found that a ceramic layer not only keeps a blade sharp longer, but it can even cause the blade to become sharper with use. This is discussed in the Knudsen
15 patent. The probable reason is that the harder ceramic layer is left behind when the softer metal is rubbed away by the material being cut. For example, a ceramic-coated blade with a cutting edge roughly squared-off should become triangular as the metal wears away from the side without ceramic.

20 However, the self-sharpening effect noted by Knudsen does not achieve great sharpness. Most likely, the edge of the ceramic layer is not straight in the cutting direction. With adjoining metal worn away, the edge is erratic in the cutting direction and its angle of attack varies. Pieces at
25 an angle may break off from the large force of cutting. Depending on the deposition method, the thickness of the

ceramic layer may also vary, leading to additional breakage at weak points and further irregularity.

The convolutions of the ceramic coating reduce the sharpness below what is possible.

5 A door kick plate (rectangular plate with screw holes intended to be fastened at the bottom of a door), made by the Baldwin company and available in stores, is made of polished stainless steel coated with ceramic.

SUMMARY OF THE INVENTION

10 To increase the sharpness of a ceramic-coated blade or razor, the present invention layers the hard ceramic onto a surface so flat or smooth that it is specular (mirror-like). When coated with ceramic, the ceramic layer is thin and flat on the order of a wavelength of light, i.e. on the order of a
15 half a micron (μm). The flat plate of hard ceramic acts as a cutting edge when the adjoining metal is worn away. Because the edge is straight, cutting efficiency (sharpness) is increased.

20 The sharpness that can be obtained with a specular surface is demonstrated by the fact that fractured-glass microtome blades are sharper than metal microtome blades. The surface of broken glass is specular (this is evident just from looking at a piece of broken glass). But glass is of course too brittle for knives and razors, the edge is difficult to
25 shape, and glass edges dull themselves in only a few hours

because of surface tension. Glass is a very viscous liquid, not a crystal solid, and it will gradually flow.

The flow of a liquid under the force of surface tension always reduces the surface area, and therefore a
5 projecting portion of liquid (such as a point or edge in glass) will be pulled back in.

Therefore, the liquid nature of glass can be used to create a specular surface. When glass is hot, its viscosity is reduced and it flows as fast as honey. In this state, any
10 irregularities in the surface will rapidly be pulled down by surface tension, and a rough surface (which is really just microscopic projecting points and ridges) will smooth itself, and become specular.

In particular, a thin coating of hot glass on a rough
15 surface will flow to make a smooth outer glass surface, just as cold honey spread over a piece of hot toast will quickly become smooth and specular.

If a sheet of rough-surfaced material like stainless steel is coated with glass powder and then heated (or
20 sprinkled with glass powder while hot), after some time the glass will cover the surface, form a sheet, and smooth its outer surface into a specular surface. The surface irregularities will be filled in on the metal side, while the outer surface or air side of the glass will become smooth and
25 specular through surface tension. When cooled, the glass will become very hard.

As noted above, glass is quite brittle and cannot be used, alone, for a blade. But glass is actually a very strong material, stronger than steel under ideal conditions. Glass breaks easily because surface cracks in the glass grow under stress and grow bigger. This is demonstrated by the fact that, when the surface of glass is specially smoothed, the glass is much stronger. One way to do this is by "fire-polishing", heating the glass and letting surface tension remove the cracks.

In the present invention, a thin coating of glass, made specular by heating, is coated with a very thin ceramic plate, which is harder than glass and acts as a cutting edge. The specular glass surface results in a specular ceramic coating, flat on a microscopic scale. The ceramic not only increases the hardness at the cutting edge, it also prevents the glass edge from flowing itself dull from surface tension: the ceramic is a true solid and will not flow; it stays flat. As long as the glass "wets" the ceramic (adheres to it), the glass will not retreat from the edge of the ceramic plate and the edge will not become dull from surface tension.

The ceramic also protects the glass surface and reduces the number of scratches, which weaken the glass.

Preferably, the glass is selected to have a coefficient of thermal expansion comparable to that of the underlying metal or other substrate material, and the substrate material surface is treated, if need be, to insure

that the glass adheres well. If the adhesion is strong, then if the glass layer cracks for any reason the glass will not flake off and the cutting ability of the blade will not be impaired.

5 Another way that the present invention creates a specular surface is by mechanical polishing or electro-polishing, or preferably, by chromium plating. The ceramic coating is laid more or less uniformly over the polished surface, flat and smooth on a microscopic scale, creating a
10 microscopic flat ceramic plate which is straight in the cutting direction. This produces a sharper blade.

 To optimize the sharpness of the blade, the cutting layer should be smooth (locally flat) on a distance scale less than or generally equal to its thickness. If the corrugations
15 in the cutting layer are much deeper than the cutting layer is thick, then the cutting layer will not present a thin layer that lies in the direction of cutting. The edge cannot be the intersection two planes, and therefore cannot be sharp.

BRIEF DESCRIPTION OF THE DRAWING FIGURES

Fig. 1 is a perspective view of a prior-art knife edge.

Fig. 2 is a perspective view of the knife edge of the invention.

Fig. 3 is an edge-on view of the knife edge of Fig. 1.

Fig. 4 is an edge-on view of the knife edge of Fig. 2.

Fig. 5 is a perspective schematic view of a method of making the invention.

Fig. 6 is a cross-sectional view of the blade made by the method of Fig. 5.

Fig. 7 is a cross-sectional view similar to Fig. 6 but showing an alternative embodiment.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Here, and in the following claims:

"specular" means that at least some reflected image is visible on a surface, but does not mean that the surface is polished or mirror-like --any surface on which any reflected image is at all visible is specular, and distortion in that image, no matter how much, will not prevent the surface from being "specular";

"ceramic" means any hard, solid, or crystalline material.

"bonded" means joined by mechanical fastening, by adhesive, by vapor or chemical deposition of one layer onto another, or by any other means;

"dissimilar" means two materials having respective compositions so unlike that they cannot be joined by welding;

"light" means visible light, ultraviolet light, and infrared light (near infrared and far infrared), by which images can be reflected in a specular fashion;

"on the order of" means within a factor of ten (e.g. a centimeter is on the order of 1.1 millimeters and also on the order of 9.9 centimeters;

"polishing" includes any process, whether mechanical, electrical, chemical, thermal, or of any other nature whatsoever, which converts a non-specular surface into a specular surface.

Fig. 2 shows the present invention. The ceramic coating layer 20 is deposited on a knife blade 10, preferably of hard metal, glass, or glassy material, which is smooth enough that light is reflected and an image is visible in the reflection (assuming the material reflects sufficient light). The outer ceramic surface is generally flat and a reflected image may be visible either on the outer surface of the ceramic or from the metal or glass surface beneath, when the ceramic is transparent.

The surface on which the ceramic 20 is coated may be mechanically polished, electro-polished, or otherwise polished, for example by liquid flow or melting. Preferably an ordinary non-specular blade (or a portion near the edge) is plated with a secondary coating layer 12 which has a specular outer surface due to the plating or polishing process and needs no further polishing. One example is "decorative" chromium plating, which is applied via a widely-used process.

(The other type of chrome plating, which has a dull non-specular finish, is called "hard" chrome. It is much thicker than decorative chrome plating. Despite the names, decorative chromium is also hard, though too thin for most industrial applications. Chromium is one of the hardest metals. The invention includes combining hard and decorative chrome plating, for example by using hard plating to level a rough substrate and then using decorative chrome plating to provide the specular surface.)

The cutting edge is formed and/or sharpened by abrading the side of the blade opposite to the ceramic 20. When an ordinary grinding stone has sharpened the blade, the edge it will be irregular as viewed from the side, like a microscopic serrated edge. But in the edge-on view parallel to the surface of the ceramic layer 20 (in the direction of arrow A of Fig. 2), it will appear straight.

To optimize sharpness the plate 20 should be smooth (locally flat) on a distance scale generally equal to, and

preferably less than, its thickness. If the surface is specular it is also smooth to less than a wavelength of light, which is about $0.5 \mu\text{m}$. (A high-quality optical mirror is flat to one-quarter wave, but locally smooth to better than that.

5 Overall flatness is not essential for the present invention, but smoothness or local flatness permits the coating to be plate-like.) A ceramic layer about $1 \mu\text{m}$ thick coated onto a specular surface will form a substantially uniform flat plate 20 as illustrated.

10 The plate 20 preferably is so thin that it acts as a cutting layer even when the ceramic plate 20 is not beveled, e.g. when the plate 20 has broken off above the metal 12. The plate thickness "d" shown in Fig. 2 is chosen such that the blade is sufficiently sharp even without any beveling of the
15 ceramic plate 20. A ceramic plate with a thickness approaching $1 \mu\text{m}$ (1000 Angstroms) corresponds to the edge of a very sharp razor.

Ordinary sharpening on the side of the blade opposite the plate 20, in a direction angled to the edge, will leave
20 corrugations or ridges in the metal and undulations in the edge of the ceramic plate 20 (as viewed from the side, not along the arrow A). Sharpness will not be decreased, because the edge of the ceramic plate 20 is (on a microscopic scale) serrated, but is still straight in the cutting direction and
25 it will still move straight into the cut.

The sharpness of the ceramic-plate cutting edge may be increased by polishing the side opposite to the plate 20 or by removing the metal 12 or 10 from the region just adjacent the plate edge. Doing this will effectively increase the edge angle right at the edge. If the metal should be removed far back from the edge, the ceramic plate may break off under the force of cutting. However, this might not be a disadvantage because the projecting edge will still be sharp.

The invention contemplates several ways of removing metal near the cutting edge of the plate 20. One is corrosion, for example, acid etching. If a thin layer of metal is removed from the side not shielded from chemical action by the ceramic plate, the edge of the plate will be exposed and the edge will be sharpened.

An alternate method is electro-etching. By applying a voltage to the metal while the blade is immersed in a suitable solution, metal can be removed under the influence of the applied voltage. Electro-etching would only dull an ordinary knife because the electric field, which controls the rate of metal removal, reaches very high values at a projections such as point or ridge, and therefore the metal is removed faster at the edge of a blade than it is elsewhere. In the ceramic-plate edge of this invention, though, electro-etching is ideal for exposing a little bit of the ceramic edge. The metal 12 or 10 will be removed most quickly right at the edge, so that

only a very brief period of electro-etching would be required to sharpen the blade.

Combinations of chemical, electro-chemical, and abrasive metal removal may give optimum results.

5 In the case where the secondary coating layer 12 is made of glass or other glassy material, abrasion is the preferred method. However, secondary heating of the sharpened edge can at least partially smooth the rough, abraded side of the cutting edge opposite the ceramic plate 20, resulting in a
10 cutting edge with less friction and an easier cut. Microscopic ridges and valleys will tend to flow into a smooth surface when the glass becomes less viscous.

The edge could also become sharper due to secondary heating after the edge is sharpened by abrasion, because the
15 glass will form a "meniscus" whose shape depends on the wetting of the ceramic plate by the glass. Liquid water forms beads on some surfaces, which the water does not wet, such as wax paper, and spreads out over other surfaces, such as very clean glass. "Wetting" describes the adhesion of a liquid to
20 a solid; it is caused by the same forces of molecular attraction as those which make glue work.

If the glass 12 does wet the plate 20 when it is brought to a flowing liquid state by heat, the glass will tend to bead up like water on wax paper, and the edge will become
25 rounded; this is not the type of meniscus desired for a hollow edge (although it might be desirable where the edge is to be

made mechanically stronger at the cost of sharpness). But if the glass wets the plate 20 and adheres to it, then the plate will pull on the glass and stretch it out along the direction A, causing the edge to become sharper.

5 In order to form a good bond between the glass 12 and the ceramic plate 20, the glass should be very clean when the ceramic is deposited. Also, chemical treatment to increase or otherwise improve the adherence of the plate 20 to the glass 12 is part of the invention.

10 The thickness of the glass layer is preferably small for blades which need to be tough. But the present invention contemplates a blade made entirely of glass for applications such as microtomes.

006071 35930/60
15 The present invention is expected to be self-sharpening because the hard ceramic plate 20 will be worn away more slowly than the adjoining material 12 or 10, which is preferably softer. Unlike previous prior-art ceramic-coated blades, in the invention the major component of cutting force is aligned with the plate, so that the plate edges will fail by buckling (compression). In the prior-art blades the ceramic layer is apt to be at any angle to the cut and is likely to fail by bending. A plate will bend and break under a much smaller force than is required to buckle the plate from a force applied edge-on, especially when the plate is
20 supported by the substrate material 10 or 12.
25

If the ceramic plate 20 is thin enough, then the invention is expected to be sharper than an ordinary knife or razor. In general, it is well-known that a soft material will not take or keep an edge, and that harder materials such as ceramic and chromium are better. An ordinary blade can be sharpened by polishing, but it cannot be as sharp as the ceramic plate of the invention because the large force at the edge will distort the metal, bending the edge over. It is believed that the reason why blades are stropped is to break off the edge "feather" caused by plastic flow of the metal under the very large forces present at the edge during conventional sharpening.

Even greater sharpness can be achieved if the ceramic plate 20 is beveled to a cross-sectional apex. After the glass or metal 10 and 12 is removed sufficiently, then a very fine and very hard abrasive could be used to "sharpen" the ceramic. Such a beveled ceramic plate 20 might be used for a microtome. A beveled ceramic plate could combine great sharpness with a thick, tough ceramic layer.

The ceramic plate 20 is preferably formed directly onto the specular surface of the substrate 10 or 12, preferably by vapor or ion deposition or implantation or some other commercially available method. One preferred material is TiN. Another is diamond.

Especially in the embodiments using a glassy specular surface, when the underlying substrate 10 has surface

roughness including parallel ridges and valleys, such as might be produced from unidirectional sanding or wire-brushing, the invention contemplates aligning the ridges and valleys more or less perpendicular to the cutting edge. This creates a complementary pattern of ridges on the undersurface of the layer 12, which strengthen the edge.

The invention includes a process of making the blade described above.

The specularity of a surface is not only a structural aspect of the present invention (because a specular blade has increased sharpness); it is also a convenient test of the smoothness/flatness of a surface. Looking at a surface, either with the naked eye or through an optical device (for example, a microscope), is a rapid and inexpensive step in a process of making a very sharp blade with at least one specular surface. Moreover, the human eye can also immediately detect the quality of such a surface. For example, a surface with a finely scratched or pitted smooth surface can be seen as a "clouded" mirror surface in which the image is clear but faint.

In the case of the one preferred embodiment in which the layer 12 is chromium or other hard metal, the invention includes (among other methods), timed plating of the substrate in a plating apparatus, and it also includes human visual inspection of the plated surface to determine when the surface

has become specular, and it also includes automatic or partially automatic inspection by equipment using optics and/or image processing to make the same determination as a human would, of whether the surface is specular or specular
5 enough.

In the case of another preferred embodiment in which the layer 12 is of glass or glassy material, the invention includes, among other methods, watching for the formation of a specular surface, just as in the case of a hard-metal layer.

10 In the case of a glass layer 12, the process of becoming specular could involve covering the desired area of the blade with glass, softening the glass by heating, and watching for the formation of an image in the glass surface, indicating a specular surface.

15 In one preferred embodiment of that method, the glassy material 12, which is most preferably glass having a coefficient of thermal expansion close to that of the substrate 10, is reduced to fine dust or powder and sprinkled onto the substrate 10 in an oven. This will gradually build
20 up a sufficient thickness to coat and fill the irregularities on the substrate. The point at which sufficient dust has fallen to create a specular surface will be apparent from the formation of a specular image.

25 One method of making the invention is to move a strip of substrate sheet metal through an oven and coat it with glassy material continuously. Alternatively, the strip can

move through a plating solution and plate it with chromium or another hard metal, or through an electro-polishing bath. The coated sheet metal emerging from this process with a specular surface can then be coated with hard material or, if

5 continuous coating is not possible because of vacuum or other requirements, it can be cut into pieces (preferably corresponding to a single blade), and those pieces are coated with the plate of hard material.

Fig. 5 illustrates in schematic form the processes
10 discussed above, in which a strip 510 of material such as steel moves more-or-less continuously to a polishing device 530. The strip 510 may unroll from a coil 500. The device 530 polishes the strip 510 by some method such as mechanical polishing, electro-polishing, heating a glassy covering,
15 chromium plating, or any other polishing process, at least on one side in the region adjacent to what will be the cutting edge.

A luminous object 550 is disposed in conjunction with a human eye or optical device 552 which detects the image I of
20 the object 550 on the strip 510. Detection of the degree to which the strip 510 is specular, by the quality and/or intensity of the image I, provides feedback for the blade-making process.

Optionally, the strip 510 is folded over by a folding
25 device shown schematically as a roller 570, which stiffens the blade as discussed below.

If possible, the hard layer 20 of Fig. 2 may be placed onto the specular surface continuously according to Fig. 5. For example, a knife with only a layer 12 of chromium, glass, or the like without any ceramic plate 20 could be made according to Fig. 5. However, in the embodiment of the invention in which ceramic layer 20 is laid over the specular surface, it is anticipated that it would be impractical to lay down the ceramic continuously because a high vacuum is needed.

The folded-over strip 510 is therefore preferably cut into lengths for individual blades, coated with ceramic, and sharpened.

The folding operation stiffens the blade. To further stiffen the blade, the interior space may be filled with an easily-abraded material such as plastic 600, shown in Fig. 6. This creates a "sandwich" or "stressed-skin" structure in which the outer layers (the strip 510) have a high tensile strength. This makes for a light but strong blade which is easily sharpened because the plastic 600 is soft and easily abraded.

The invention includes a graduated series of layers, progressively softer toward the interior. Preferably, the thickness of each layer increases progressively away from the cutting layer. The thickness may increase, for example, proportionally or exponentially with the order of the cutting layer. The reason for this is that a thin but hard layer

needs support from an adjacent layer of equal strength, which means that an adjacent layer made of weaker material must be thicker to match the strength of the support layer closer to the cutting layer.

5 Fig. 7 shows a knife blade with two outer layers 710 of sheet metal, for example, stainless steel, and a core 700 of wood, which might be fastened with epoxy.

 The blades of Figs. 6 and 7 combine outer layers with a hard edge (for example, stainless steel, chromium, glass, or
10 ceramic) with a core material that is resistant to compression (that is what makes such a blade stiff, in combination with high tensile strength in the outer layers) and which is also easily abraded. This makes such a knife easy to sharpen.

 When a blade is sharpened, the angle of the cutting
15 edge should be maintained. This means that material must be removed for some distance back from the edge, which in turns means that a substantial amount of material must be removed. When that material is stainless steel, as in a conventional knife, the sharpening process involves a good deal of work
20 and/or time.

 Sharpening is a problem for most people, who do not have the proper knowledge or tools (such as sharpening stones and oil) for keeping their knives sharp. As a result, most homes have dull knives. Professional blade users usually have
25 the tools and know-how to sharpen their blades, but must interrupt their work and spend inordinate amounts of time in

sharpening. Razors, utility knives, and kitchen knives are customarily thrown out when dulled, which is wasteful, expensive, and creates bulky and dangerous trash. Blades made of very hard materials like sapphire and ceramic, though they remain sharp for an extended time, cannot be sharpened at all; eventually they too dull and are thrown out.

Preferably, the invention includes a core which is soft(easily abraded)but sufficiently resistant to compression, and outer layers that are hard and have high tensile strength.

If the materials of the core and outer layers are dissimilar, they can be bonded. In general, hard materials are stiffer than soft materials; that is, they have a larger modulus of elasticity in tension. They also tend to have a higher tensile strength. For example, alloy steel is harder, stiffer, and stronger than aluminum, which in turn is harder, stiffer, and stronger than wood. Therefore it is not difficult to choose materials to make a blade stiff and also easy to sharpen. Moreover, hard materials are often less dense than soft materials and therefore the blades of Figs. 6 and 7 will also be light in weight.

The modulus of elasticity(elastic modulus or Young's modulus), in psi x 10^6 in tension, for some materials is: steel 30, aluminum 10, and fir wood 1.76.

I CLAIM:

1. A blade, comprising:
a substrate including a specular surface; and
a thin, hard plate deposited on the specular surface,
5 whereby the hard plate is microscopically flat, on the order
of a light wavelength;

wherein the substrate is beveled toward a cutting edge
including the hard plate, whereby the cutting edge is
straight, on the order of a light wavelength, in a cutting
10 direction.

2. The blade according to claim 1, wherein the substrate
comprises
a base portion including a first material and
a surface portion including a second material, wherein
15 the surface portion comprises the specular surface.

3. The blade according to claim 2, wherein the second
material comprises chromium.

4. The blade according to claim 2, wherein the second
material comprises glass.

20 5. The blade according to claim 1, wherein the hard plate
comprises a ceramic.

6. The blade according to claim 1, wherein the hard plate has a thickness on the order of a micron.

7. A blade comprising, on at least one side of the blade, a thin, hard plate including both a thickness and a flatness on the order of a light wavelength.

8. A process of making a sharp edge on a blade, comprising:

polishing at least one side of the blade at least adjacent to a cutting edge;

determining when the side of the blade is at least partially specular; and

coating the specular side with a hard material.

9. The process according to claim 8, wherein a thickness of the hard material is on the order of a micron.

10. The process according to claim 8, wherein the hard material comprises a ceramic.

11. The process according to claim 8, wherein the step of polishing comprises heating a layer of glassy material on the polished side of the blade.

Patent, BLADE WITH MICROSCOPIC ... , by Bromer, Filed 11/9/00.

12. The process according to claim 8, wherein the step of determining comprises watching for a reflected image.

13. The process according to claim 12, wherein the step of determining comprises providing an automatic device and the
5 step of watching comprises detecting the reflected image with the automatic device.

14. A blade comprising at least three layers comprising a softer inner core and two harder outer layers.

15. The blade according to claim 14, in which the outer
10 layers are metallic and the core is non-metallic.

16. The blade according to claim 14, in which the outer layers include a first material and the core includes a second material, and the first material has a higher tensile strength than the second material.

006071-8980260

[illegible]

5
10

006011-85980760

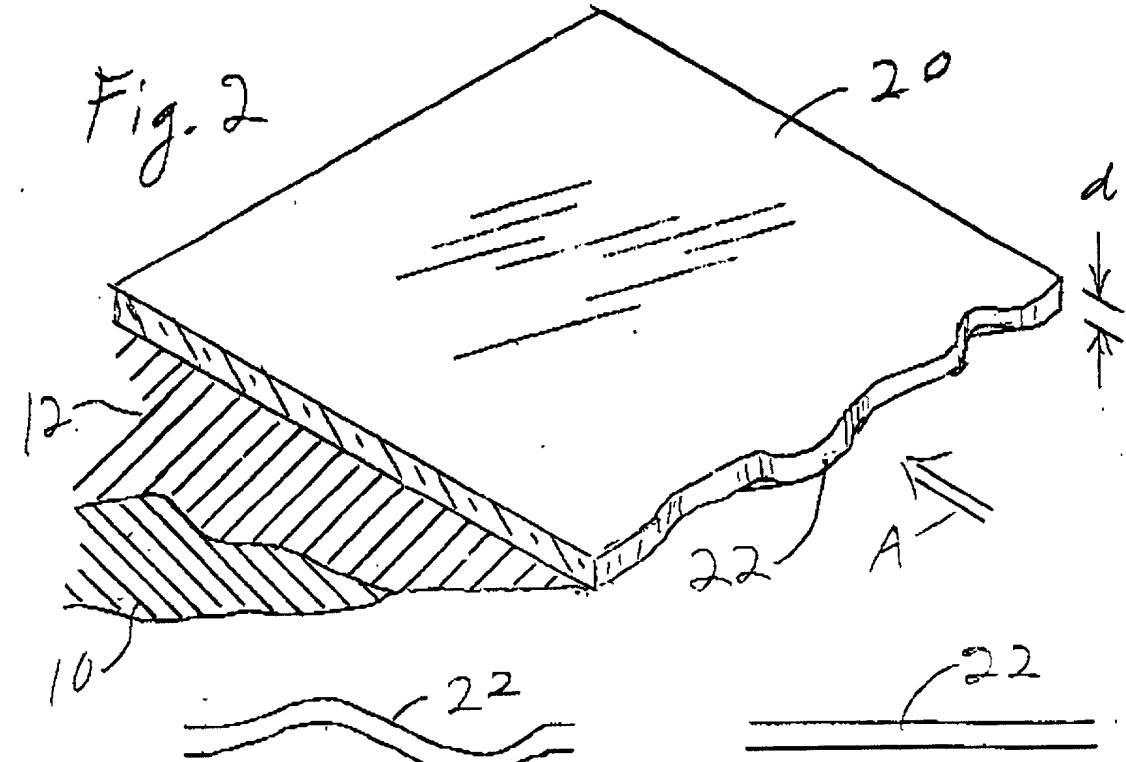
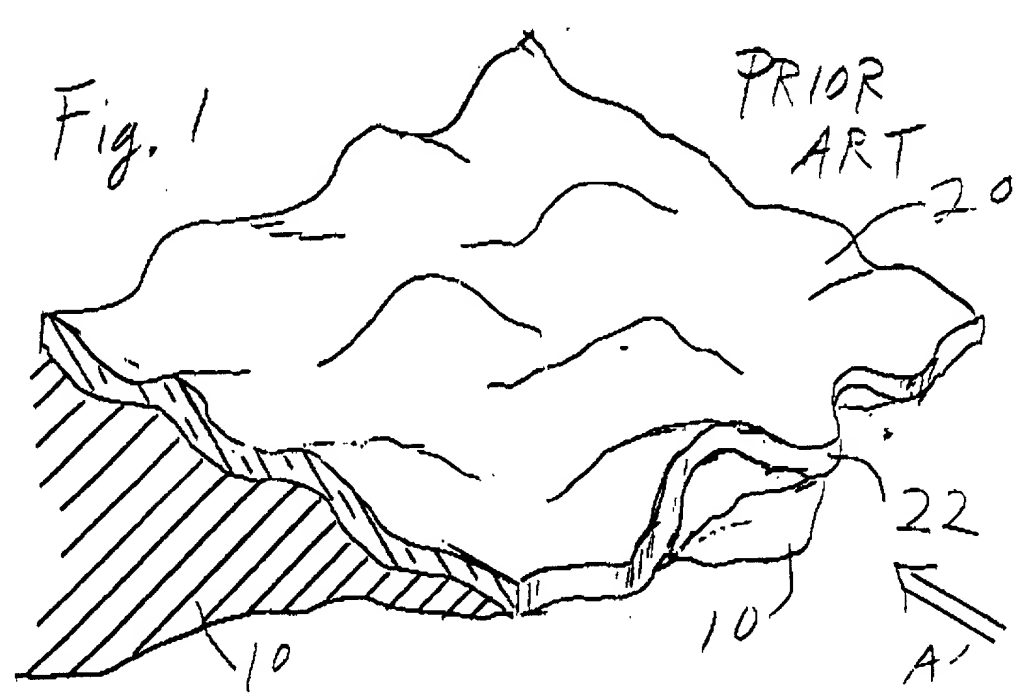
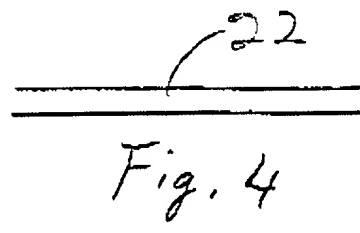
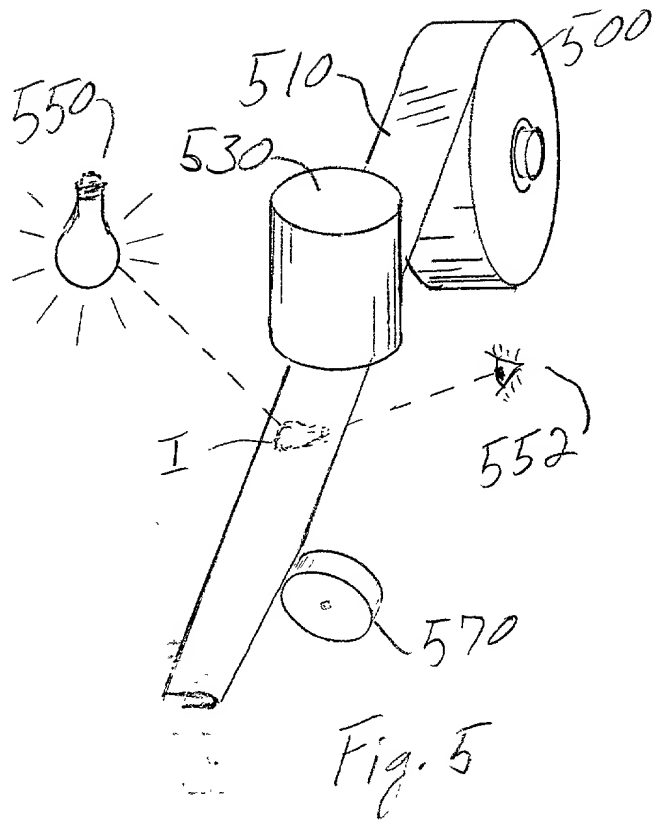
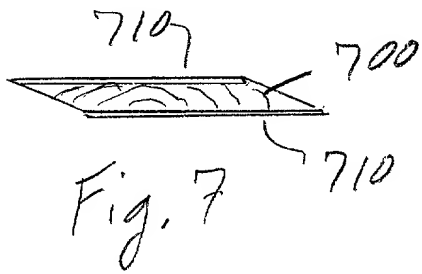
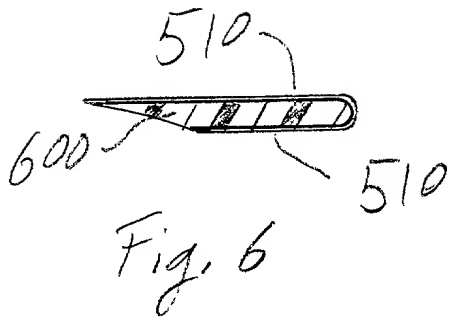


Fig. 3
PRIOR ART





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☒ The attached application, or
☐ Application No. _____, filed on _____,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: NICK BROMER

Signature: Nick Bromer Citizen of: U.S.A.

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement. This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231